9   Application Serial No. 09/913,686

REMARKS

35 USC 103.

The Examiner has now asserted patent No. 5,805,700 issued to Nardone, in combination with Van Oorschot (USPN 5,850,443), as to basis for finding the

5   claimed invention to be obvious.

In this regard, Nardone indeed dislcoses that only a part of video data has to be encrypted in contrast to encrypting the entire content. As outlined in Nardone at column 1, line 28 annd column 1, lines 45-50, the partial encryption of basis

10 transfer undts of compressed video data of video images helps to reduce processing requirements.

However, Nardone is completely silent on the specfic key management as defined in Claim 1. If at all, Nardone only discloses the third paragraph of Claim

· 15 1, stating that the second section of the payload data remains unencrypted. Regarding the kind of encryption, please refer to column 2, line 67 of Van Oorschot, where a "stream cipher technique" is mentioned. A key for this symmetric cipher has to come from somewhere, so Nardone possibly suggests the features of the second paragraph of Claim 1.

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However, Nardone is completely silent on the steps of processing, linking, encrypting, and entering. Therefore, from a claim having six steps, Nardone only discloses two steps.

25 To summarize, Nardone discloses to encrypt only a part of a video sequence using a stream cipher (column 2, line 65). All this is done to obtain a similar level of encryption because the video images are degraded to a virtually useless state. Furthermore, processing power is saved, as outlined in column 1, lines 47 to 50.

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However, Nardone is not at all interested in protection of the unencrypted sections. Nardone does not teach or suggest to do anything in that direction. Instead, Nardone teaches <u>completely away</u> from the claimed invention because

the claimed invention incurs additional processing steps, introducing additional delay, and acquiring additional computational resources by performing the last four steps of Claim 1, which is against the teaching of Nardone, which says that one only has to encrypt the part of data to <u>save</u> processing power.

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Thus, why should those skilled in the art go against the teaching of Nardone and introduce any additional protection features for the non-encrypted part of the useful data. In view of that, Nardone cannot render obvious the subject matter of the claimed invention.

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Applicant will discuss Van Oorschot in more detail.

Importantly, Van Oorschot has, as outlined in the first paragraph of column 3, two scenarios. The first scenario is a low-trust environment. A low-trust

15 environment means that a probability exists that the cryptographic protection has to be defeated for national security or law enforcement reasons. Stated differently, when an encrypted message comes from a low-trust environment, for examply from a region which is known for terrorist activites, then the National Security Authority must have a chance to defeat the crytographic

20 protection, *i.e.* to decrypt the encrypted content against the will of the sender of the encrypted content.

Now, the question is, how this is achieved in Van Oorshot. As stated in connection with Fig. 3, this defeating feature is introduced by the X-fields, and

25 the fact that the message is encrypted using a short low-trust symmetric key K'. The symmetric key K', which is a low-trust symmetric key, is encrypted using a low-trust public key from the sender of the message. This low-trust public key, as well as the low-trust symmetric key encrypted using the low-trust public key, are written into the X-fields, as outlined in column 7, lines 5 to 7.

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Now, one has to raise the question, why these fields are written into the bit stream in plain text. The answer is that the 512-bits RSA public key of A can be used for sending and for retriving a corresponding RSA private key of A which

can, for example, be performed by a Law Enforcement Authority which has received an authorization by one or more judges or other trusted agents, as indicated in column 2, lines 56-58. Then, those law enforcement agencies would decrypte the encrypted low-trust symmetric key K' using the second X-

5   fields, which would then mean that the decryption of the encrypted message without any usage of A's header field or B's header field is required. This is important because the Law Enforcement Agency is not forced to find a private key belonging to a high-trust public key of A because the high-trust public key of A is 1024 bits long, i.e. has double the length of the low-trust public key, the

10   effort for determining the private key belonging to the public key is much higher compared to the low-trust public key, which can be defeated easier.

In this contect, in normal encryption it is completely useless that the sender or originator of a message encrypts anything using his own public key because the

15   only person being in the position to decrypt this item is the sender or orignator himself because he is the only person knowing his private key.

However, cryptographhic systems are never unbreakable, and for example, for the Central Intelligence Agency, it is much easier to break a 512-bits private key

20   as included in the X-fields compared to a 1024-bits private key enclosed in A's header field.

Now, another question is what a straight-forward combination of Van Oorchat and Nardone would look like. Such a cobmination could mean that only a part

25   of the symmetrically encrypted message would be encrypted using the low-trust symmetric key K'. The remainder of the message would remain unencrypted. However, those skilled in the art would not receive any indication that the date for generating the leveled key, i.e. the data introduced into the leveling function for leveling the low-trust symmetric key, have to be derived from the

30   unencrypted part of the message.

Instead, those skilled in the art would do nothing with the unencrypted part of the message as taught by Nardone.

Possibly, the Examiner considers that those skilled in the art would derive the hash data from the encrypted part of the message of Nardone and would not derive this data from the X-fields. This would, however, be completely against the teaching of Van Oorschot because as stated in column 6, lines 60-63, the

5   use of the leveled key makes sure that any terrorists cannot delete the X-fileds from a transmission. If those senders would delete X-fields, then the CIA would have to break a 1024-bits private key, rather than a 512-bits private key. This is much more work and time compared to breaking the low-trust public key. Therefore, any terrorists would, of course, be interested in deleting the X-fields

10   so that only the high level high-trust public key remains In A' header field. However, if those terrorists could delete the X-fields, then the leveling function cannot be calculated anymore in a correct manner so that the leveled key cannot be calculated anymore, and the correct symmetric key K' for performing a symmetric decryptiong, as stated in Fig. 4, could not be gained anymore.

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Therefore, those skilled in the art would never receive a teaching from Van Oorschot not to use the X-feilds anymore for providing data into the leveling function, because this Is the feature of Van Oorschot which makes sure that any party cannot simply remove the X-fields to upgrade the overall security of the

20   communication to a 1024-bits RSA encryption.

Now, a further questions is, whether those skilled in the art would, when reading Van Oorschot use data from the non-encrypted portion of the message for calculating te leveling function. If those skilled in the art would do that, then any

25   party who wished simply to upgrade the system could easily delete the X-flelds because the leveling function would work anyway because it does not depend on the low-trust public key. Therefore, those skilled in the art could immediately do what is negated in column 6, lines 60-64.

30   Furthermore, a leveling function would work in this case safely because the encrypted part of the message has bene transmitted to the receiver and the receiver can decrypt using the correct leveling function and by using his own high-trust private key.

However, the CIA would not be in the position anymore to retrieve the low-trust public and to use this public key to calculate the corresponding private key by, for example, an exhaustive search to break the encryption to be able to read an unencrypted message sent by a reader from a low-trust environment, such as a

5   country known for terrorist activies.

To summarize, there are two main reasons why those skilled in the art would not combine Van Oorschot and Nardone in the manner as stated by the Examiner.

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The first reason is that those sending messages from a low-trust environment are, of course, interested that the full message is encrypted because the encryption is there that any Government Authorities or Law Enforcement Authorities cannot read the content. Van Oorschot is not directed to any

15   movies, but is directed to the transmission of secrets, which have to be secret before any Government Authority. Therefore, those skilled in the art would not understand Van Oorschot in that those should only encrypt a part of the message. This would not make any sense because then the Government Authority could already read the unencrypted part of the message without any

20   effort.

The second main reason is that the only protetection against an attack, in which the X-fields are simply removed, as stated in column 6, lines 60-64, is that the data in the X-fileds are necessary for calculating the leveling function.

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In this context, it is to be noted that the X-fields mst be an encrypted symmetric key K' using a low-trust public key encryption and that, additionally, the low-trust public key of entity A has to be there so that, in case of any extraordinary situation, a Government Authority would be in the position to calculate the

30   corresponding private key to decrypt the encrypted message using a decrypted low-trust symmetric key. Thus, if one would introduce data into the leveling function from the unencrypted part of the message, the safeguard for the X-fields, which allows the Government to break the encryption of the message

would be avoided which would be against the complete teaching of Van Oorschot for those skilled in the art.

The Examiner on page 3, line 14, says that the unencrypted second section of
5   the payload data is processed.   Here, the Examiner compares the term "payload data" of Claim 1 to the X-fields, which are, of course, not any message component, but which are a 512-bits RSA public key of A and K' RSA-encrypted under this key, as stated in column 7, lines 6 and 7.   However, as stated in Claim 1, the second section includes audio data, video data, a combination of
10   aduio data and video data, text data, or binary data forming an executive program.   In Van Oorschot, the X-fields definately do not include any payload data.   X-fields are not at all allowed to include any payload data, as defined for breaking an encryption, which is the encrypted symmeteric key, and the public key used for encrypting the symmetric key.

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This is acknowledged on page 4, lines 1, 2 and 3 of the Office Action.

However, the conclusion at page 4, lines 8-12 is erroneous.   Even when those skilled in the art would only partly encrypt the message in Van Ooschot, then
20   those skilled in the art would not receive any indication that the leveling function has to be calculated from the unencrypted portion of the message.   Instead, those skilled in the art would still calculate the leveling function using the X-fields.

25   Therefore, a straight-forward combination of Nardone to Van Oorschot would not mean that the unencrypted part of the message is used for calculating the leveling function.

As stated before, this would make the Van Oorschot system useless because
30   then any attackers can simply delete the X-fields to upgrade the overall security of the communication to 1025-bits RSA encryption, which would result in substantially increasing the difficulties for the CIA for example to break the encryption.
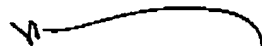
Therefore, even who those skilled in the art would combine Van Oorschot and Nardone they would not arrive at the inventive device, in which the authenticity of the unencrypted second part is protected by using this data for deducing
5   information and for linking said information and said payload data key to obtain the basic value which is then encrypted.

From this aspect, it also becomes clear that Van Oorschot and Nardone are not at all interested in authenticity. Nardone simply says to not encrypt the whole
10   data and does not care about the unencrypted part, assuming that the authenticity of the unencrypted part is not touched, while Van Oorschot provides a full-encryptiong system useful for being cryptiographically attached by a Government Authority.

15   Should the Examiner deem it helpful, he is encouraged to contact's Applicant's attorney, Michal A. Glenn at (650) 474-8400.

Respectfully submitted,

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